

Case No. 78319 P1676

16519 U.S. PTO

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I HEREBY CERTIFY THIS PAPER OR FEE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS ADDRESSED TO: MAIL STOP PATENT APPLICATION, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450.

EXPRESS MAIL NO.: EV 322683401 US

DATE OF DEPOSIT: November 24, 2003

Transmitted herewith for filing is the patent application of:

NAME: Kristen Ferguson

SIGNATURE: Kristen Ferguson

Inventors: Siu Kwan CHEUNG, Srinath CHAKRAVARTHY, Karl KISSA

For: SLOTTED ELECTRODE ELECTRO-OPTIC MODULATOR

Please note the following:

- ☒ Patent Application: 11 pages, 14 claims.
☒ 9 sheets of drawings.
☒ The suggested drawing figure to be published is FIG. 3
☒ A declaration and power of attorney.
☒ Citation Under 37 CFR 1.97 and PTO-1449.
☒ Assignee info:

Name: JDS Uniphase Corporation
Address: 1768 Automation Parkway
San Jose, CA 95131

State of Incorporation: Delaware

- ☐ Applicant claims priority benefit to the following foreign application(s):

Country:

Application No.:

Filing Date:

- ☒ Applicant claims priority benefit to the following U.S. application(s):

Application No.: 60/445,140

Filing Date: February 5, 2003

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 385	<u>OR</u>		\$ 770
TOTAL CLAIMS	14	-20	0		X 9	\$	<u>OR</u>	X 18	\$
INDEP CLAIMS	2	- 3	0		X 43	\$	<u>OR</u>	X 86	\$
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$		TOTAL	\$ 770

- ☒ Authorization is given to charge the Filing Fee in the amount of \$770.00 to Deposit Account 50-1465.
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- ☒ PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD:
CHARLES E. WANDS

- ☒ Please associate this application with Customer No. 27975.

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November 24, 2003



27975

PATENT TRADEMARK OFFICE

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17548 U.S. PTO
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